



Equivalent of Form PTO/SB/08a (08-08)

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

<i>Complete if Known</i>	
Application Number	10/520,033
Filing Date	December 30, 2004
First Named Inventor	CHAN, Lia Raquel
Art Unit	1638
Examiner Name	KUMAR, Vinod
Attorney Docket Number	2510.0040000/JAG/SAC

U.S. PATENT DOCUMENT

FOREIGN PATENT DOCUMENT

883869_1.doc

Examiner Signature	/Stuart Baum/	Date Considered	07/22/2009
-----------------------	---------------	--------------------	------------

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **'Applicant's unique citation designation number (optional).** ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

If you need assistance in completing the form, call 1-800-PIO-9199 (1-800-788-9199) and select option 2.



Substitute for form 1449/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/520,033
				Filing Date	December 30, 2004
				First Named Inventor	CHAN, Lia Raquel
				Art Unit	1638
				Examiner Name	KUMAR, Vinod
Sheet	1	of	3	Attorney Docket Number	2510.0040000/JAG/SAC

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	NPL1	BRAY, E.A., "Molecular Responses to Water Deficit," <i>Plant Physiol.</i> 103:1035-1040, American Society of Plant Physiologists (1993)			
	NPL2	CARABELLI, M., <i>et al.</i> , "The <i>Arabidopsis Athb-2</i> and -4 genes are strongly induced by far-red-rich light," <i>Plant J.</i> 4:469-479, Blackwell Scientific Publishers (1993)			
	NPL3	CENTURY, K., <i>et al.</i> , "Regulating the Regulators: The Future Prospects for Transcription-Factor-Based Agricultural Biotechnology Products," <i>Plant Physiol.</i> 147:20-29, American Society of Plant Physiologists (May 2008)			
	NPL4	CHAN, R.L., <i>et al.</i> , "Homeoboxes in plant development," <i>Biochim. Biophys. Acta.</i> 1442:1-19, Elsevier Publishing Company (1998)			
	NPL5	GAGO, G.M., <i>et al.</i> , "Hahb-4, a homeobox-leucine zipper gene potentially involved in abscisic acid-dependent responses to water stress in sunflower," <i>Plant Cell Environ.</i> 25:633-640, Blackwell Scientific Publications (May 2002)			
	NPL6	GEHRING, W.J., "Homeo boxes in the study of development," <i>Science</i> 236:1245-1252, American Association for the Advancement of Science (1987)			
	NPL7	GEHRING, W.J., <i>et al.</i> , "Homeodomain Proteins," <i>Annu. Rev. Biochem.</i> 63:487-526, Annual Reviews (1994)			
	NPL8	HJELLSTRÖM, M., <i>et al.</i> , "Constitutive expression of the water deficit-inducible homeobox gene <i>ATHB7</i> in transgenic <i>Arabidopsis</i> causes a suppression of stem elongation growth," <i>Plant Cell Environ.</i> 26:1127-1136, Blackwell Scientific Publications (June 2003)			
	NPL9	INGRAM, J., and Bartels, D., "The Molecular Basis Of Dehydration Tolerance In Plants," <i>Annu. Rev. Plant Physiol. Plant Mol. Biol.</i> 47:377-403, Annual Reviews Inc. (1996)			
	NPL10	LEE, Y-H., and Chun, J-Y., "A new homeodomain-leucine zipper gene from <i>Arabidopsis thaliana</i> induced by water stress and abscisic acid treatment," <i>Plant Mol. Biol.</i> 37:377-384, Kluwer Academic (1998)			

Examiner Signature		Date Considered
--------------------	--	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.B./

Substitute for form 1449/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/520,033
				Filing Date	December 30, 2004
				First Named Inventor	CHAN, Lia Raquel
				Art Unit	1638
				Examiner Name	KUMAR, Vinod
Sheet	2	of	3	Attorney Docket Number	2510.0040000/JAG/SAC

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
	NPL11	LEUNG, J., and Giraudat, J., "Abscisic Acid Signal Transduction," <i>Annu. Rev. Plant Physiol. Plant Mol. Biol.</i> 49:199-222, Annual Reviews Inc. (1998)			
	NPL12	LIU, Q., <i>et al.</i> , "Two Transcription Factors, <i>DREB1</i> and <i>DREB2</i> , with an EREBP/AP2 DNA Binding Domain Separate Two Cellular Signal Transduction Pathways in Drought- and Low-Temperature-Responsive Gene Expression, Respectively, in <i>Arabidopsis</i> ," <i>Plant Cell</i> 10:1391-1406, American Society of Plant Physiologists (1998)			
	NPL13	NAKASHIMA, K., and Yamaguchi-Shinozaki, K., "Molecular Studies on Stress-Responsive Gene Expression in <i>Arabidopsis</i> and Improvement of Stress Tolerance in Crop Plants by Regulon Biotechnology," <i>JARQ</i> 39:221-229, Japan International Research Center for Agricultural Sciences (October 2005)			
	NPL14	OLSSON, A.S., <i>et al.</i> , "The homeobox genes <i>ATHB12</i> and <i>ATHB7</i> encode potential regulators of growth in response to water deficit in <i>Arabidopsis</i> ," <i>Plant Mol. Biol.</i> 55:663-677, Kluwer Academic (July 2004)			
	NPL15	PALENA, C.M., "A monomer-dimer equilibrium modulates the interaction of the sunflower homeodomain leucine-zipper protein Habb-4 with DNA," <i>Biochem. J.</i> 341:81-87, Portland Press (1999)			
	NPL16	PIMENTEL, D., <i>et al.</i> , "Water Resources: Agriculture, the Environment and Society," <i>BioScience</i> 47:97-106, American Institute of Biological Sciences (1997)			
	NPL17	SCHENA, M., <i>et al.</i> , "The <i>HAT4</i> gene of <i>Arabidopsis</i> encodes a developmental regulator," <i>Genes Dev.</i> 7:367-379, Cold Spring Harbor Laboratory Press (1993)			
	NPL18	SESSA, G., <i>et al.</i> , "The Athb-1 and -2 HD-Zip domains homodimerize forming complexes of different DNA binding specificities," <i>EMBO J.</i> 12:3507-3517, Oxford University Press (1993)			
	NPL19	SESSA, G., <i>et al.</i> , "DNA-binding Specificity of the Homeodomain-leucine Zipper Domain," <i>J. Mol. Biol.</i> 274:303-309, Academic Press (1997)			
	NPL20	SHINOZAKI, K., and Yamaguchi-Shinozaki, K., "Gene Expression and Signal Transduction in Water-Stress Response," <i>Plant Physiol.</i> 115:327-334, American Society of Plant Physiologists (1997)			

Examiner Signature		Date Considered
--------------------	--	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.B./

Substitute for form 1449/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/520,033
				Filing Date	December 30, 2004
				First Named Inventor	CHAN, Lia Raquel
				Art Unit	1638
				Examiner Name	KUMAR, Vinod
Sheet	3	of	3	Attorney Docket Number	2510.0040000/JAG/SAC

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		T ²
	NPL21	SÖDERMAN, E., <i>et al.</i> , "The <i>Arabidopsis</i> homeobox gene <i>ATHB-7</i> is induced by water deficit and by abscisic acid," <i>Plant J.</i> 10:375-381, Blackwell Scientific Publishers (1996)		
	NPL22	SÖDERMAN, E., <i>et al.</i> , "The HD-Zip gene <i>ATHB6</i> in <i>Arabidopsis</i> is expressed in developing leaves, roots and carpels and up-regulated by water deficit conditions," <i>Plant Mol. Biol.</i> 40:1073-1083, Kluwer Academic (1999)		
	NPL23	BRAY, E.A., "Plant Responses to Water Deficit," <i>Trends Plant Sci.</i> 2:48-54, Elsevier Science, Ltd. (1997)		

885225_1.doc

Examiner Signature	/Stuart Baum/	Date Considered	08/10/2009
--------------------	---------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ALL REFERENCED CONSIDERED EXCERPT WHERE LINED THROUGH. /S.B./